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COMMISSIONER FOR PATENTS
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/957,415	09/20/2001	Scott Elliott	RPS9 2001 0044

CONFIRMATION NO. 3264
FORMALITIES LETTER



OC000000006940591

International Business Machines Corporation
Personal and Printing Systems Group
Dept. 9CCA/Bldg. 002-2
P.O. Box 12195
Research Triangle Park, NC 27709

Date Mailed: 10/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/18/2002 MBERHE 00000066 091990 09957415

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : Date: November 14, 2001
Scott Thomas Elliott et al. : Group Art Unit: Not Assigned
Serial Number: 09/957,415 : Examiner: Not Assigned
Filed: 09/20/01 :
Title: Method and System for Key Usage Control in a Embedded Security System : IBM CORPORATION
Personal and Printing Systems Group
Intellectual Property Law
Dept. 9CCA/002-2
P. O. Box 12195
Research Triangle Park, NC 27709-2195

TRANSMITTAL OF MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents
ATTN: Application Division, Special Handling Unit
Washington, D. C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application mailed October 19, 2001, please find enclosed the following with the appropriate fees:

1. DECLARATION along with the payment of a surcharge of \$130.00 for a large entity.
2. A copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION, FILING DATE GRANTED.

Charge my Deposit Account No. 09-1990 in the amount of \$130.00. The Commissioner is hereby authorized to charge payments of (1) any additional filing fees required under 37 CFR 1.16, and/or (2) any patent application processing fees under 37 CFR 1.17 associated with this application or credit any overpayment to Deposit Account No. 09-1990. A duplicate copy of this sheet is enclosed.

By:

Respectfully submitted,
George E. Grosser
George E. Grosser
Registration No. 25,629
Telephone No.: (919) 254-4753

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

ASSISTANT COMMISSIONER OF PATENTS
WASHINGTON, DC 20231

on November 14, 2001

Date of Deposit

Ira Richardson

Print Name

Ira Richardson

Signature